

Our Case No. 7814/93

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:	)
Hisashi Yamamoto et al.	) ) Examiner: Barnard Dentz ) Group Art Unit No.: 2862 ) Confirmation No.: 3933 )
Serial No. 10/762,028	
Filing Date: January 20, 2004	
For CATALYTIC ASYMMETRIC EXPOXIDATION	) ) )

## SUBMISSION OF ISSUE FEE AND PUBLICATION FEE

Mail Stop Issue Fee Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

In response to the Notice of Allowance and Issue Fee Due mailed October 20, 2006, enclosed are form PTOL-85 Issue Fee Transmittal and a check in the amount of \$1,700 for filing.

Should you have any questions, please do not hesitate to telephone the undersigned.

Respectfully submitted,

Yuezhong Feng, Ph.D. Registration No. 58,657 Agent for Applicants Date: January 22, 2007

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## PART B - FEE(S) TRANSMITTAL

Complete and send this form, together with applicable fee(s), to: Mail Mail Stop ISSUE FEE Commissioner for Patents P.O. Box 1450 JAN 2 5 2007 Alexandria, Virginia 22313-1450 (571)-273-2885 or Fax INSTRUCTIONS: This form thould be used far fransmitting the ISSUE FEE and PUBLICATION FEE (if required). Blocks I through 5 should be completed where appropriate. All further correspondence included the Patent, advance orders and notification of maintenance fees will be mailed to the current correspondence address as indicated unless corrected below of all the best of the patent, advance orders and notification of maintenance fees will be mailed to the current correspondence address; and/or (b) indicating a separate "FEE ADDRESS" for maintenance fee notifications Note: A certificate of mailing can only be used for domestic mailings of the Fee(s) Transmittal. This certificate cannot be used for any other accompanying papers. Each additional paper, such as an assignment or formal drawing, must have its own certificate of mailing or transmission. CURRENT CORRESPONDENCE ADDRESS (Note: Use Block I for any change of address) 7590 10/20/2006 Certificate of Mailing or Transmission I hereby certify that this Fee(s) Transmittal is being deposited with the United States Postal Service with sufficient postage for first class mail in an envelope addressed to the Mail Stop ISSUE FEE address above, or being facsimile transmitted to the USPTO (571) 273-2885, on the date indicated below: **BRINKS HOFER GILSON & LIONE** P.O. BOX 10395 CHICAGO, IL 60610 Yuezhong Feng, Ph.D. (Reg. No. 58,65%)sitor's name) (Signature (Date FILING DATE FIRST NAMED INVENTOR APPLICATION NO. ATTORNEY DOCKET NO CONFIRMATION NO. 10/762,028 01/20/2004 Hisashi Yamamoto 7814/93 3933 TITLE OF INVENTION: CATALYTIC ASYMMETRIC EPOXIDATION APPLN. TYPE **SMALL ENTITY ISSUE FEE DUE** PUBLICATION FEE DUE PREV. PAID ISSUE FEE TOTAL FEE(S) DUE DATE DUE NO \$1400 \$300 nonprovisional 01/22/2007 01/26/2007 TBESHAH2 00000011 10762028 **EXAMINER** ART UNIT **CLASS-SUBCLASS** 1409.00 OP 01 FC:1501 02 FC:1594 DENTZ, BERNARD I 1625 549-529000 399.00 OP 1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363). 2. For printing on the patent front page, list Brinks Hofer Gilson (1) the names of up to 3 registered patent attorneys or agents OR, alternatively, & Lione Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached. (2) the name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is ☐ "Fee Address" indication (or "Fee Address" Indication form PTO/SB/47; Rev 03-02 or more recent) attached. Use of a Customer Number is required. listed, no name will be printed. 3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type) PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. If an assignee is identified below, the document has been filed for recordation as set forth in 37 CFR 3.11. Completion of this form is NOT a substitute for filing an assignment. (A) NAME OF ASSIGNEE (B) RESIDENCE: (CITY and STATE OR COUNTRY) The University of Chicago Chicago, IL Japan Science and Technology Agency Japan Please check the appropriate assignee category or categories (will not be printed on the patent): 🔲 Individual 🖾 Corporation or other private group entity 🔲 Government 4a. The following fee(s) are submitted: 4b. Payment of Fee(s): (Please first reapply any previously paid issue fee shown above) Issue Fee A check is enclosed. A Publication Fee (No small entity discount permitted) Payment by credit card. Form PTO-2038 is attached. The Director is hereby authorized to charge the required fee(s), any deficiency, or credit any overpayment, to Deposit Account Number 23-1925 (enclose an extra copy of this fo Advance Order - # of Copies (enclose an extra copy of this form). 5. Change in Entity Status (from status indicated above) ☐ a. Applicant claims SMALL ENTITY status. See 37 CFR 1.27. ☐ b. Applicant is no longer claiming SMALL ENTITY status. See 37 CFR 1.27(g)(2). NOTE: The Issue Fee and Publication Fee (if required) will not be accepted from anyone other than the applicant; a registered attorney or agent; or the assignee or other party in interest as shown by the records of the United States Patent and Trademark Office. Authorized Signature Typed or printed name Yuezhong Feng, Ph. D Registration No. <u>58.657</u>

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